

CASE ON/4-32590A

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

EV704620803US

April 18, 2006 Date of Deposit

Express Mail Label Number

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF BARANOWSKA-KORTYLEWICZ ET AL.

INTERNATIONAL APPLICATION NO: PCT/IB03/03257

FILED: 17 JULY 2003

U.S. APPLICATION NO: 10/521,299

35 USC §371 DATE:

FOR: ENHANCING THE EFFECT OF RADIOIMMUNOTHERAPY IN THE

TREATMENT OF TUMORS

Mail Stop PCT Commissioner for Patents Office of PCT Legal Administration P.O. Box 1450 Alexandria, Virginia 22313-1450

Response to Decision of April 6, 2006 made in Response to Applicant's Petition under 37 C.F.R. 1.137(b)

Sir:

Applicant's representative would like to thank Daniel Stemmers for taking the time on April 17, 2006 to discuss the present situation regarding the Petition under 37 C.F.R. 1.137(b).

Mr. Daniel Stemmers noticed that the inventor is identified in the published international application as "Christian Pietras" while the declaration identifies him as "Kristian Pietras". The discrepancy in the first name resulted in Applicant's Petition to Revive under 37 C.F.R. 1.137(b) to be dismissed without prejudice. Applicant's representative was invited to provide an acceptable response, which would be to provide an acceptable explanation of any typographical or transliteration error in the first name of the inventor.

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Publication Application WO 2004/009089 A1 as "Pietras, Christian". Enclosed as "Attachment A" is a copy of the PCT Request which correctly states the inventor's names as "Pietras, Kristian", which was the exact spelling of his name in the fully executed Declaration attached to the Petition to Revive Under 37 C.F.R. 1.137(b) filed by the Applicant's representative on February 14, 2006. The correct name of the inventor is "Pietras, Kristian" and it is believed the error on the published application was a typographical error.

For the sake of clarity, also attached is (a) the Decision by the Office of Patent Cooperation Treaty regarding Applicant's Petition to Revive Under 37 C.F.R. 1.137(b) along with (b) a copy of the Petition to Revive Under 37 C.F.R. 1.137(b) filed on February 14, 2006. The Petition also contained a fully executed Declaration and Power of Attorney as required.

Applicants respectfully request that the above-identified application be revived under the provisions of 37 C.F.R. 1.137(b).

Respectfully submitted,

Oona A. Jackson

Reg. No. 48,152

Attorney for Applicants

Novartis Corporate Intellectual Property One Health Plaza, Building 104 East Hanover, NJ 07936-1080 (862) 778-7852

Date: April 18, 2006





UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Viginia 22313-1450 www.uspitupov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/521.299 Janina Baranowska-Kortylewicz ON/4-32590A

INTERNATIONAL APPLICATION NO.

PCT/IB03/03257

001095 NOVARTIS CORPORATE INTELLECTUAL PROPERTY ONE HEALTH PLAZA 104/3 EAST HANOVER, NJ 07936-1080

MAJ

 LA. FILING DATE
 PRIORITY DATE

 07/17/2003
 07/19/2002

Date Mailed: 06/20/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/14/2005
- Copy of the International Search Report filed on 01/14/2005
- Copy of IPE Report filed on 01/14/2005
- Preliminary Amendments filed on 01/14/2005
- Oath or Declaration filed on 01/14/2005
- U.S. Basic National Fees filed on 01/14/2005
- Priority Documents filed on 01/14/2005



The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Late oath or declaration Surcharge.

DOCKETED FOR: मी ವಾ ನಿನಾರ್

ALL OF THE ITEMS SET FOR BOVE MUST BE SUBMITTED WITHIN TO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 2 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/521 299	PCT/IB03/03257	ON/4-32590A

FORM PCT/DO/EO/905 (371 Formalities Notice)

PCT/186 Pages7.18	Fo	ng Office use only							
POI									
	International Applicati	on No.							
REQUEST	International Filing Da	ite							
The sundamicaned managers that the managers									
The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.	Name of receiving Office and "PCT International Application"								
Applicant's or agent's file reference (if desired) (12 characters maximum) 4 -32590A									
Box No. I TITLE OF INVENTION									
Enhancing the effect of radioimmunotherapy in	the treatment of tu	ımors							
Box No. II APPLICANT This person	on is also inventor								
Name and address: (Family name followed by given name; for a legal en The address must include postal code and name of country. The country of Box is the applicant's State (that is, country) of residence if no State of residen	the address indicated in this	Telephone No.							
Ludwig Institute for		Facsimile No.							
Cancer Research		Teleprinter No.							
605 Third Avenue		releprimer No.							
New York, NY 10158 US		Applicant's registration No. with the Office							
State (that is, country) of nationality:	State (that is, country) US	of residence:							
This person is applicant for the purposes of: all designated States all designated the United S	d States except	the United States of America only the States indicated in the Supplemental Box							
Box No. III FURTHER APPLICANT(S) AND/OR (FURT									
Name and address: (Family name followed by given name; for a legal ent	ity, full official designation.	This person is:							
The address must include postal code and name of country. The country of t Box is the applicant's State (that is, country) of residence if no State of residen		applicant only							
University of Nebraska									
Medical Center		applicant and inventor inventor only (If this check-box							
986099 Nebraska Medical Center		is marked, do not fill in below.)							
Omaha, Nebraska 68198-6099	*	Applicant's registration No. with the Office							
Constitution of Association	10								
State (that is, country) of nationality: US	State (that is, country) US	of residence:							
This person is applicant all designated all designate for the purposes of:	d States except tates of America	the United States of America only the States indicated in the Supplemental Box							
Further applicants and/or (further) inventors are indicated of	on a continuation sheet.								
Box No. IV AGENT OR COMMON REPRESENTATIVE	; OR ADDRESS FOR	CORRESPONDENCE							
The person identified below is hereby/has been appointed to act of the applicant(s) before the competent International Authorities		agent common representative							
Name and address: (Family name followed by given name; for a legal enti The address must include postal code and name of co	ty, full official designation. ountry.)	Telephone No. +41 61 324 40 35							
HOXIE, Thomas (US Reg no 32,993)	*	Facsimile No.							
KASSENOFF, Melvyn (US Reg no 26,389)		+41 61 322 75 32							
DOHMANN, George R. (US Reg no 33,593)		Teleprinter No.							
Novartis AG, Corporate Intellectual Property									
4002 Basel CH		Agent's registration No. with the Office							
Address for correspondence: Mark this check-box where	no agent or common ren	resentative is/has been appointed and the							
space above is used instead to indicate a special address to	which correspondence s	hould be sent.							

- privile and a specific		
Continuation of Box No. III FURTHER APPLICANT(S) A	ND/OR (FURTHER)	INVENTOR(S)
If none of the following sub-boxes is used, this sheet should not	be included in the req	quest.
Name and address: (Family name followed by given name; for a legal entity The address must include postal code and name of country. The country of the Box is the applicant's State (that is, country) of residence if no State of residence BARANOWSKA-KORTYLEWICZ, Janina 5 South 51st Avenue Omaha, Nebraska, 68132-3535	This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.) Applicant's registration No. with the Office	
State (that is, country) of nationality:	State (that is, country)) of residence:
This person is applicant all designated all designated	States except	the United States of America only the States indicated in the Supplemental Box
Name and address: (Family name followed by given name; for a legal entity The address must include postal code and name of country. The country of the Box is the applicant's State (that is, country) of residence if no State of residence of KURIZAKI, Takashi 19125 Yamada Tamana City, Kumamoto, 8650066 JP	address indicated in this	This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.) Applicant's registration No. with the Office
State (that is, country) of nationality: JP	State (that is, country, JP) of residence:
This person is applicant all designated for the purposes of:	States except tes of America	the United States of America only the States indicated in the Supplemental Box
Name and address: (Family name followed by given name: for a legal entity. The address must include postal code and name of country. The country of the Box is the applicant's State (that is, country) of residence if no State of residence ABE, Michio 9324 Cumming PLZ 12C Omaha, NE 68132 US	address indicated in this	This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.) Applicant's registration No. with the Office
State (that is, country) of nationality: JP	State (that is, country)	of residence:
This person is applicant all designated for the purposes of:	States except tes of America	the United States the States indicated in the Supplemental Box
Name and address: (Family name followed by given name; for a legal entity The address must include postal code and name of country. The country of the Box is the applicant's State (that is, country) of residence if no State of residence OSTMAN, Arne Bellmansgatan 58 75426 Uppsala	address indicated in this	This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.) Applicant's registration No. with the Office
State (that is accounts) of nationaling	State (that is, country)	of recidence
State (that is, country) of nationality: SE	SE State (that is, country)	
This person is applicant for the purposes of: all designated the United States all designated the United States	States except tes of America	the United States of America only the States indicated in the Supplemental Box
Further applicants and/or (further) inventors are indicated on	another continuation	sheet

	a nine and hall hall have								
	ND/OR (FURTHER) INVENTOR(S)								
If none of the following sub-boxes is used, this sheet should not be included in the request.									
Name and address: (Family name followed by given name; for a legal entitude address must include postal code and name of country. The country of the Box is the applicant's State (that is, country) of residence if no State of residence PIETRAS, Kristian Tegnergatan 31 C 752 26 Uppsala SE	e address indicated in this								
State (that is, country) of nationality: SE	State (that is, country) of residence:								
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Further applicants and/or (further) inventors are indicated or	another continuation sheet.								

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Th	The following designations are hereby made under Rule 4.9(a):										
Re	Regional Patent										
	AP ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, MZ Mozambique, SD Sudan, SL Sierra Leone, SZ Swaziland, TZ United Republic of Tanzania, UG Uganda, ZM Zambia, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT (if other kind of protection or treatment desired, specify on dotted line)										
X	EA	EA Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT									
	EP European Patent: AT Austria, BE Belgium, BG Bulgaria, CH & LI Switzerland and Liechtenstein, CY Cyprus, CZ Czech Republic, DE Germany, DK Denmark, EE Estonia, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, SI Slovenia, SK Slovakia, TR Turkey, and any other State which is a Contracting State of the European Patent Convention and of the PCT										
	OA	OAPI Patent: BF Burkina Faso, B. GA Gabon, GN Guinea, GQ Equate TD Chad, TG Togo, and any other S of protection or treatment desired, specific protection of the state of the	rial G	uinea, GW Guinea-Bissau, ML Mal hich is a member State of OAPI and a	i, M Co	R M	lauritania, NE Niger, SN Senegal, cting State of the PCT (if other kind				
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		Antigua and Barbuda									
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		gnation which is not confirmed before t		-	-		-				
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applicant at the expiration of that time limit. (Confirmation (including fees) must reach the receiving Office within the 15-month time limit.)

Box No. VI PRIORITY	IM	Le diale de la dia									
The priority of the following	gearlier application(s) is here	by claimed:									
Filing date	Number	V	Where earlier application	is:							
of earlier application (day/month/year)	of earlier application	national application: country or Member of WTO	regional application:* regional Office	international application: receiving Office							
item (1) 19 July 2002 (19.07.02)	60/397347	us									
item (2)											
item (3)											
item (4)											
item (5)											
Further priority claims	are indicated in the Suppleme	ental Box.									
if the earlier application was above as: all items item (* Where the earlier application item)	O other see										
Box No. VII INTERNAT	IONAL SEARCHING AUT	THORITY									
Choice of International Seinternational search, indicate	arching Authority (ISA) (if t the Authority chosen; the two	wo or more International S -letter code may be used):	Searching Authorities are	competent to carry out the							
	rlier search; reference to t	hat search (if an earlier se	earch has been carried o	ut by or requested from the							
International Searching Authority (day/month/year)	ority): Numb	per Coun	ntry (or regional Office)								
Box No. VIII DECLARAT	TIONS		· · · · · · · · · · · · · · · · · · ·								
	are contained in Boxes Nos.			Number of declarations							
Box No. VIII (i)	Declaration as to the identit	ty of the inventor		:							
Box No. VIII (ii)	Declaration as to the applicate, to apply for and be gr		e international filing	V							
Box No. VIII (iii)	Declaration as to the appli date, to claim the priority		he international filing	· · · · · · · · · · · · · · · · · · ·							
Box No. VIII (iv)	Declaration of inventorship United States of America)	p (only for the purposes o	f the designation of the	. :							
Box No. VIII (v)	Declaration as to non-preju	udicial disclosures or exce	eptions to lack of novelty	<i>'</i> :							

Box No. IX CHECK LY ANGUAGE	OF FILING
This international application stains:	This international application is accompanied by the following Number
(a) in paper form, the following number of	item(s) (mark the applicable check-boxes below and indicate in of items
sheets:	right column the number of each item):
request (including	1. X fee calculation sheet : 1
declaration sheets) : 6 description (excluding	2. original separate power of attorney
sequence listings and/or	3. original general power of attorney:
tables related thereto) : 15	4. copy of general power of attorney; reference number, if any:
claims : 3	5. ☐ statement explaining lack of signature :
Lositati	6. Driority document(s) identified in Box No. VI as
drawings : 0	item(s):(1)
Sub-total number of sheets: 25 sequence listings:	7. translation of international application into (language):
tables related thereto :	8. separate indications concerning deposited microorganism
(for both, actual number of	or other biological material
sheets if filed in paper form, whether or not also filed in	9. sequence listings in computer readable form (indicate type and number of carriers)
computer readable form; see (c) below)	(i) copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application):
Total number of sheets : 25	(ii) (only where check-box (b)(i) or (c)(i) is marked in left column) additional copies including, where applicable, the copy for the
(b) only in computer readable form (Section 801(a)(i))	purposes of international search under Rule 13ter
(i) sequence listings	(iii) together with relevant statement as to the identity of the copy or copies with the sequence listings mentioned in left column:
(ii) ☐ tables related thereto (c) ☐ also in computer readable form	10. tables in computer readable form related to sequence listings (indicate type and number of carriers)
(Section 801(a)(ii))	(i) copy submitted for the purposes of international search under
(i) sequence listings (ii) tables related thereto	Section 802(b-quater) only (and not as part of the international
Type and number of carriers (diskette,	application) (ii) (iii) (only where check-box (b)(ii) or (c)(ii) is marked in left column)
CD-ROM, CD-R or other) on which are contained the	additional copies including, where applicable, the copy for the purposes of international search under Section 802(b-quater):
sequence listings:	(iii) together with relevant statement as to the identity of the copy or copies with the tables mentioned in left column:
tables related thereto:	11. other (specify):
items 9(ii) and/or 10(ii), in right column)	
Figure of the drawings which	Language of filing of the
should accompany the abstract:	international application: English
Box No. X SIGNATURE OF APPLICAN	r, AGENT OR COMMON REPRESENTATIVE
Next to each signature, indicate the name of the person sig	ning and the capacity in which the person signs (if such capacity is not obvious from reading the request)
- 1	in the name of the applicants
	The representative
	NAW VENNE
	DOHMANN, George R. (US Reg no 33,593)
16.07.2003	DOHMANN, George R. (US Reg no 33,593)
	For receiving Office use only
Date of actual receipt of the purported international application:	2. Drawings:
T.F.	received:
 Corrected date of actual receipt due to later t timely received papers or drawings completi the purported international application: 	
4. Date of timely receipt of the required	not received:
corrections under PCT Article 11(2):	
5. International Searching Authority	6. Transmittal of search copy delayed
(if two or more are competent): ISA /	until search fee is paid
	For International Bureau use only
Date of receipt of the record copy	
by the International Bureau:	

UNITED STATES PATENT AND TRADEMARK OFFICE

DIEST IBOYEDDE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450

NOVARTIS CORPORATE INTELLECTUAL PROPERTY ONE HEALTH PLAZA 104/3 **EAST HANOVER NJ 07936-1080**

்றிa C. Rothwell

DECISION

In re Application of

BAR ANOWSKA-KORTYLEWICZ

Application No.: 10/521,299 PCT No.: PCT/IB03/03257 Int. Filing Date: 17 July 2003 Priority Date: 19 July 2002

Attorney Docket No.: ON/4-32590A ENHANCING THE EFFECT OF For: RADIOIMMUNOTHERAPY IN THE

TREATMENT OF TUMORS

This decision is in response to applicants' petition under 37 CFR 1.137(b) filed in the United States Patent and Trademark Office (USPTO) on 14 February 2006.

BACKGROUND

On 17 July 2003, applicants filed international application PCT/IB03/03257, which designated the U.S. and claimed a priority date of 19 July 2002. A copy of the international application was communicated to the USPTO from the International Bureau on 29 January 2004. The thirty-month period for paying the basic national fee in the United States expired at midnight on 19 January 2005.

On 14 January 2005, applicants filed a submission for entry into the national stage in the United States which was accompanied by, inter alia, the U.S. Basic National Fee.

On 20 June 2005, the United States Designated/Elected Office (DO/EO/US) mailed a NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 (Form PCT/DO/EO/905) indicating, inter alia, that applicant must provide an oath or declaration of the inventors in compliance with 37 CFR 1.497(a)-(b) and the surcharge under 37 CFR 1.492(e) for providing the oath or declaration later than thirty months from the priority date. The NOTIFICATION set a two-month extendable period for reply.

On 14 February 2006, applicants submitted the instant petition under 37 CFR 1.137(b), which was accompanied by, inter alia, a declaration of inventors.

DOCKETED FOR: June 6, 2006

Application No.: 10/521,299

DISCUSSION

A petition to revive the present application under 37 CFR 1.137(b) must include:

- (1) The required reply;
- (2) The petition fee;
- (3) A statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition was unintentional.

As to item (1), the declaration of inventors filed 14 February 206 is not in compliance with 37 CFR 1.497(a)-(b). The last named inventor is identified in the international application as Christian Pietras while the declaration of inventors identifies him as Kristian Pietras. A satisfactory explanation of the difference between the first name of the inventor as indicated on the declaration and in the international application has not been provided. Nor has a grantable petition under 37 CFR 1.182 regarding a change of name of the inventor or a grantable petition under 37 CFR 1.497(d) if a change of inventorship is being made from the inventorship of the application as indicated in the international application been provided.

As to item (2), applicant submitted the petition fee on 14 February 2006.

As to item (3), a grantable petition has not yet been filed.

CONCLUSION

The petition under 37 CFR 1.137(b) is **DISMISSED**, without prejudice, for the reasons set forth above.

Applicant is hereby given the time limit of <u>TWO (2) MONTHS</u> from the mail date of this communication in order to file a proper response. A proper response would be a new oath(s) or declaration(s) properly identifying the inventor and signed by the inventor, or an acceptable explanation of any typographical or transliteration error in the last name of the inventor as indicated in the international application if this is the case, or a petition under 37 CFR 1.182 (see MPEP § 605.04(c)) if the inventor has changed his name, or a petition under 37 CFR 1.497(d) if a change of inventorship is being made from the inventorship of the application as indicated in the international application. Extensions of time under 37 CFR 1.136(a) are available.

Please direct further correspondence with respect to this matter to Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.

Daniel Stemmer Legal Examiner

PCT Legal Affairs



Office of Patent Cooperation Treaty Legal Administration Telephone: (571) 272-3301 Facsimile: (571) 273-0459

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

EV336718556US Express Mail Label Number February 14, 2006
Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

BARANOWSKA-KORTYLEWICZ ET AL.

APPLICATION NO: 10/521,299

FILED: January 14, 2005

FOR: ENHANCING THE EFFECT OF RADIOIMMUNOTHERAPY IN THE

TREATMENT OF TUMORS

MS: Petition

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

PETITION TO REVIVE UNDER 37 CFR 1.137(b)

Sir:

Applicants respectfully request that the above-identified application be revived under the provisions of 37 CFR 1.137(b).

This application became abandoned by failure to file a fully executed Declaration and Power of Attorney, within the six-month statutory period which expired on December 20, 2005.

Enclosed with this Petition is a fully executed Declaration and Power of attorney in response to the Notification of Missing Requirements under 35 U.S.C. 371.

In accordance with 37 CFR 1.137(b)(2), the Commissioner is hereby authorized to charge the fee of \$1,500 required by 37 CFR 1.17(m) to Deposit Account No. 19-0134 in the name of Novartis. A duplicate copy of this Petition is also enclosed.

In accordance with 37 CFR 1.137(b)(3), it is respectfully submitted that the entire delay in filling the require personal december 20, 2005, until the filing of the instant, grantable petition was unintentional.

Respectfully submitted,

Attorney for Applicants

Oona A. Jacksøn

Reg. No. 48,152

Novartis Corporate Intellectual Property One Health Plaza, Building 104 East Hanover, NJ 07936-1080 (862) 778-7852

Date: February 14, 2006

US

BEF-18048006



DECLARATION AND POWER OF ATTORNEY FOR UNITED STATES PATENT APPLICATION

Z	Original		Supplemental				Substitute
٠.	4		4				
As a	below named inventor, I here	by d	eclare that:				
	esidence, post office address			stated bel	ow next to	my	name, and
beli	eve I am the original, first an oint inventor (if more than on n a United States patent is so	d so	ele inventor (if only me is listed below)	one nam	e is listed	belo	w) or an original, first
Enha	ancing the effect of radioim	mun	otherapy in the t	reatment	of tumors	;	
he s	pecification of which:	.•		9		,	
	is attached hereto.	•					, š
3	was filed on (da	y/mo	as App	lication No	D		
*	and, if this box (□) contain □ was amended on		ay/month/year)			-	
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•	PCT/IB03/03257	<u></u>	on 17/07/3 (day/mon				
	and, if this box (□) contain □ entered the nation			States and	was acco	rded	Application No.
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	was amended, sub			he nationa	al stage, or	١ .	(day/month/year)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) specifically referred to above and, if this application was filed as a Patent Cooperation Treaty international application, by any amendments made during the international stage (including any made under Patent Cooperation Treaty Rule 91, Article 19 and Article 34).

I acknowledge my duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or Patent Cooperation Treaty international filing date of the continuation-in-part application.

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I hereby claim the benefit under 35 U.S.C. 119(a)-(d) or (f) or 365(b) of any foreign application(s) for patent, inventor's certificate or plant breeder's right certificate listed below and under 35 U.S.C. 365(a) of any Patent Cooperation Treaty international application(s) designating at least one country other than the United States listed below and have also listed below any foreign application(s) for patent, inventor's certificate or plant breeder's right certificate and Patent Cooperation Treaty international application(s) designating at least one country other than the United States for the same subject matter and having a filing date before that of the application the priority of which is claimed for that subject

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COUNTRY/REGION (OR P.C.T.)	APPLICATION No.	FILING DATE (day/month/year)	PRIORITY CLA	IMED
	÷ ·		☐ Yes ☐	No
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I hereby claim the benef below:	fit under 35 U.S.C. 119(e) o	f any United States prov	risional application(s) listed
APPLICATION NO.		FILING DATE (day/month/year)		
60/397347		19.07.2002	*	

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s) listed below and under 35 U.S.C. 365(c) of any Patent Cooperation Treaty international application(s) designating the United States listed below:

United States	United States	Status (Pending,	Interna	
Application No.	Filing Date (day/month/year)	Abandoned or U.S. Patent No.)	Application No.	and Filing Date (day/month/year)
	(ddy////ditalyou//			

parzeo osesz lsouecos

I hereby appoint all of the registered practitioners associated with Customer No. 001095, respectively and individually, as my attorneys and agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

If this box (\square) contains an x \boxtimes , I hereby authorize the registered practitioners associated with Customer No. 001095 and any others acting on my behalf to take any action relating to this application based on communications from Corporate Intellectual Property of Novartis International AG, Basle, Switzerland, or an affiliate thereof or a successor thereto, without direct communication from me.

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Full name of sole or first joint inventor	Janina BARANOWSKA-KORTYLEWICZ			
Inventor's signature	Helsen	· .	Date _X	2-13-06 (day/month/year)
				(day/month/year)
Residence	Omaha, USA			
Citizenship	Poland			
Post Office Address	5 South 51st Avenue Omaha, Nebraska, 68132-3535 USA	· ·		
Full name of second joint inventor, if any	Takashi KURIZAKI			
Inventor's signature		*	Date	
			_	(day/month/year)
Residence	Kumamoto, Japan			
Citizenship	Japan			
Post Office Address	19125 Yamada Tamana City, Kumamoto, 8650066 Japan			

IMPORTANT: Before this declaration is signed, the patent application (the specification, the claims and this declaration) must be read and understood by each person signing it, and no changes may be made in the application after this declaration has been signed.

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Full name of third joint inventor, if any	Michio ABE		:			
Inventor's signature	÷				Date	
	-ŷ-					(day/month/year)
Residence	Omaha, USA				• • •	
Citizenship	Japan				•	
Post Office Address	9324 Cumming PLZ 1 Omaha, NE 68132 USA	12C			:	
			*			
Full name of fourth joint inventor, if any	Arne OSTMAN		· · · · · ·			<u> </u>
					Date	
Inventor's signature		•			Date	(day/month/year)
Residence	Uppsala, Sweden					•
Citizenship	Sweden				÷.	
Post Office Address	Bellmansgatan 58 75426 Uppsala Sweden				" .:	
		· · ·	· -			
Full name of fifth joint inventor, if any	Kristian PIETRAS			•		*
				÷		
Inventor's signature		· 		<u> </u>	Date	(day/month/year)
				•		(day/monaryod/)
Residence	Uppsala, Sweden					*
Citizenship	Sweden					
Post Office Address	Tegnergatan 31 C 752 26 Uppsala Sweden	•			<i>:</i>	

DECLARATION AND POWER OF ATTORNEY FOR UNITED STATES PATENT APPLICATION

⊠ Ori	ginal		☐ Supplemental			Substitute	
				, J			
As a b	elow named	inventor, I he	ereby declare that:			name and	
My res	sidence, post	office addre	ss and citizenship are	e as stated bel	ow next to my	Hame, and	inal first
l belie and jo which	ve I am the int inventor (a United Sta	original, first if more than ites patent is	and sole inventor (if one name is listed be sought on the invention	only one name low) of the sub on entitled	e is listed beid bject matter wi	WALLE ALL OHG	and for
Enhai	ncing the ef	fect of radio	immunotherapy in t	he treatment	of tumors	• . •	
the sp	ecification o	f which:	*				
	is attached	hereto.		·			
	was filed o	on	(day/month/year) as	Application N	o		
	and, if this	box (□) con	tains an ×			•	
		as amended	· ·				
×	was filed a	as Patent Co	operation Treaty inter	national Applic	cation No.		*
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•	□ e	ntered the na	itional stage in the Un	ited States an	d was accorde	ed Application	NO.
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•	and, if thi	s box (□) co	ntains an ×			•	
•	□ v	vas amended	, subsequent to entry	into the nation	nal stage, on	(day/mor	nth/year)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) specifically referred to above and, if this application was filed as a Patent Cooperation Treaty international application, by any amendments made during the international stage (including any made under Patent Cooperation Treaty Rule 91, Article 19 and Article 34).

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matter:

I hereby claim the benefit under 35 U.S.C. 119(a)-(d) or (f) or 365(b) of any foreign application(s) for patent, inventor's certificate or plant breeder's right certificate listed below and under 35 U.S.C. 365(a) of any Patent Cooperation Treaty international application(s) designating at least one country other than the United States listed below and have also listed below any foreign application(s) for patent, inventor's certificate or plant breeder's right certificate and Patent Cooperation Treaty international application(s) designating at least one country other than the United States for the same subject matter and having a filing date before that of the application the priority of which is claimed for that subject

COUNTRY/REGION (OR P.C.T.)	APPLICATION No.	FILING DATE (day/month/year)	PRIORIT	YCLAIMED
	10		Yes	□ No
*			☐ Yes	□ No
			☐ Yes	□ No
			☐ Yes	□ No
0)0			☐ Yes	□ No
	·			
I hereby claim the benefi	it under 35 U.S.C. 119(e)	of any United States pro	ovisional applic	cation(s) listed
below:				
APPLICATION NO.		FILING DATE (day/month/year)		•
60/397347	·	19.07.2002		
	•	•	• .	

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s) listed below and under 35 U.S.C. 365(c) of any Patent Cooperation Treaty international application(s) designating the United States listed below:

United States	United States	Status (Pending,	International	
Application No.	Filing Date	Abandoned or U.S.	Application No. and Filing Date	
, ,pp	(day/month/year)	Patent No.)	(day/month/year)	

I hereby appoint all of the registered practitioners associated with Customer No. 001095, respectively and individually, as my attorneys and agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

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Full name of sole or first joint inventor	Janina BARANOWSKA-KORTYLEWIC	Z	
Inventor's signature		Date	
-4			(day/month/year)
Residence	Omaha, USA		•
Citizenship	Poland		
Post Office Address	5 South 51st Avenue Omaha, Nebraska, 68132-3535 USA	*	
Full name of second joint inventor, if any	Takashi KURIZAKI		
Inventor's signature	Takashi Hurizaki	Date	01/02/2005
			(day/month/year)
Residence	Kumamoto, Japan		
Citizenship	Japan		
Post Office Address	19125 Yamada Tamana City, Kumamoto, 8650066 Japan		

IMPORTANT: Before this declaration is signed, the patent application (the specification, the claims and this declaration) must be read and understood by each person signing it, and no changes may be made in the application after this declaration has been signed.

PCT/1803 03857 18048806

Full name of third joint inventor, if any	Michio ABE			
Inventor's signature	Michio a	be	Date	7/2/2005
				(day/month/year)
				*
Residence	Omaha, USA	*		
Citizenship	Japan		·	·
Post Office Address	9324 Cumming PLZ Omaha, NE 68132	12C		
	USA			
Full name of fourth joint inventor, if any	Arne OSTMAN			
			-	
Inventor's signature			Date	•
				(day/month/year)
			• .	
Residence	Uppsala, Sweden			
Citizenship	Sweden			
Post Office Address	Bellmansgatan 58			
	75426 Uppsala Sweden			. •
	Owodon			
Full name of fifth joint inventor, if any	Kristian PIETRAS			
	3			*
	:	4	Date	
Inventor's signature			Date	(day/month/year)
				(00)
Residence	Uppsala, Sweden			
Citizenship	Sweden			
Post Office Address	Tegnergatan 31 C 752 26 Uppsala Sweden			

DECLARATION AND POWER OF ATTORNEY FOR UNITED STATES PATENT APPLICATION

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				•		1				•
As a l	below named i	nventor, I he	ereby d	eclare th	nat:	• .				
My re	sidence, post	office addre	ss and	citizens	hip are as	stated be	low next	to my	name, and	
and id	eve I am the o oint inventor (if n a United State	more than	one nar	me is lis	ted below)) of the su	ne is liste ubject ma	d belo tter wh	w) or an o ich is clair	riginal, first ned and for
Enha	ncing the effe	ct of radio	immun	otherap	y in the t	reatment	of tumo	rs		
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	is attached h	ereto.							· · · · · · · · · · · · · · · · · · ·	
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pct/1801 03257 18042006

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COUNTRY/REGION (OR P.C.T.)	APPLICATION No.	FILING DATE (day/month/year)	PRIORIT	YCLAIMED
			☐ Yes	□ No
		•	☐ Yes	□ No
			☐ Yes	□ No
			☐ Yes	□ No
			☐ Yes	□ No
I hereby claim the benefit below:	under 35 U.S.C. 119(e) o	of any United States pro	ovisional applic	ation(s) listed
APPLICATION NO.		FILING DATE (day/month/year)	· · · · ·	-
60/397347		19.07.2002		

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Application No.	Filing Date	Abandoned or U.S.	Application No. and Filing Date
	(day/month/year)	Patent No.)	(day/month/year)

PCT/1803 BES7.18042006

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Full name of sole or first joint inventor	Janina BARANOWSKA-KORTYLEW				
Inventor's signature			Date	(day/month/year)	
Residence	Omaha, USA			(day/mondaysar)	
Citizenship Post Office Address	Poland 5 South 51st Avenue Omaha, Nebraska, 68132-3535 USA				
Full name of second joint inventor, if any	Takashi KURIZAKi		· .		
Inventor's signature	A		Date	(day/month/year)	
Residence	Kumamoto, Japan			(day/monuryear)	
Citizenship Post Office Address	Japan 19125 Yamada Tamana City, Kumamoto, 8650066 Japan		*		

IMPORTANT: Before this declaration is signed, the patent application (the specification, the claims and this declaration) must be read and understood by each person signing it, and no changes may be made in the application after this declaration has been signed.

per/iboundes/.lab4eoos

Full name of third joint inventor, if any	Michio ABE			
Inventor's signature			Date	
		-1		(day/month/year)
Residence	Omaha, USA			
Citizenship	Japan			*
Post Office Address	9324 Cumming PLZ 120 Omaha, NE 68132 USA			
			· .	
Full name of fourth joint inventor, if any	Arne OSTMAN		• .	
Inventor's signature	A		Date	00/01/05
×.				(day/month/year)
Residence	Uppsala, Sweden		:	- 1
Citizenship	Sweden			
Post Office Address	Bellmansgatan 58 75426 Uppsala Sweden			
Full name of fifth joint inventor, if any	Kristian PIETRAS			
Inventor's signature			Date	
mivernor a aignature		`		(day/month/year)
Residence	Uppsala, Sweden			
Citizenship	Sweden			
Post Office Address	Tegnergatan 31 C 752 26 Uppsala Sweden			,

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DECLARATION AND POWER OF ATTORNEY FOR UNITED STATES PATENT APPLICATION

区Oi	riginal	☐ Supple	emental	C] Substitute	
	*				· ·	
As a b	elow named inventor,	I hereby declare t	hat:			
My res	idence, post office add	dress and citizens	hip are as stated	below next to m	y name, and	
and ioi	ve I am the original, fi int inventor (if more tha a United States patent	an one name is lis	ted below) of the	ame is listed be subject matter	elow) or an original, first which is claimed and for	ţ -
Enhar	icing the effect of rac	dioimmunothera	py in the treatme	nt of tumors		
	ecification of which:					
	is attached hereto.	•	2-			
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60/397347

PCT/IEO3 3257 IEO42006

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COUNTRY/REGION (OR P.C.T.)	APPLICATION No.	FILING DATE (day/month/year)	PRIORITY CLAIMED		
			☐ Yes	□ No	
	•		☐ Yes	□ No	
	· · · · · · · · · · · · · · · · · · ·		☐ Yes	□ No	
			☐ Yes	□ No	
		**	☐ Yes	□ No	
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19.07.2002

			1.4
United States	United States	Status (Pending,	International
United States	Crinea Graice		Application No. and Filing Date
Application No.	Filing Date	Abandoned or U.S.	Application No. and Filing Date
Application (40.			(dov/month/coor)
	(day/month/year)	Patent No.)	(day/month/year)
1.	\		

PCT/IBO DBBBF 1804EOOE

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			٠.	
Full name of sole or first joint inventor	Janina BARANOWSKA-KORTYLEWICZ			
			Date ·	
Inventor's signature		'	Date _	(day/month/year)
Residence	Omaha, USA			
Citizenship	Poland			•
Post Office Address	5 South 51st Avenue Omaha, Nebraska, 68132-3535 USA		0)	
·		··-	·	
Full name of second joint inventor, if any	Takashi KURIZAKI			
Inventor's signature		· .	Date	
		•		(day/month/year)
	er amata laman	•		
Residence	Kumamoto, Japan			
Citizenship	Japan	•		
Post Office Address	19125 Yamada Tamana City, Kumamoto, 8650066 Japan			

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PCT/IBO3 B257 18048006

Sweden

Full name of third joint inventor, if any	Michio ABE			
Inventor's signature			Date	
				(day/month/year)
			• •	
Residence	Omaha, USA			•
Citizenship	Japan			* *
Post Office Address	9324 Cumming PLZ 12 Omaha, NE 68132	С	•	
	USA			
Full name of fourth joint inventor, if any	Ame OSTMAN			
Inventor's signature			Date	
				(day/month/year)
Residence	Uppsala, Sweden			•
Citizenship	Sweden	. :	·	e ÷
Post Office Address	Bellmansgatan 58 75426 Uppsala Sweden			
Full name of fifth joint inventor, if any	Kristian PIETRAS			- 4
Inventor's signature	(I)		Date	11/01/2005
		•	•	(day/month/year)
Residence	Uppsala, Sweden			
Citizenship	Sweden		·	
Post Office Address	Tegnergatan 31 C		•	

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